Internal Audit Annual Plan 2016/17 - Monitoring to 10 August 2016

Appendix A

Work Allo							
		Actuals to 10/08/16	Remaining	Committed	Approved Plan	Variance	Status a 10/08/1
Job No	Title				(29/06/16)		
	ANCE WORK pre Financial Systems						
15/0966	Sundry Debtors	12	0	12			 ✓
13/0900		12	0	0			Â
Sub-tot	al - Core Financial Systems	12	0	12	50	38	
	les Shared Service - Financial Systems	12		12	50		
	Unallocated		40	40			
Sub-tot	al - Revenues Shared Services	0	40	40	40	0	
Core M	anagement Arrangements		-				
	National Fraud Initiative	13	7	20			 ✓
15/0962	Counter Fraud Activities	4	2	6			
16/0969	Annual Governance Review and Statement 2015/16	9	1	10			
	al - Core Management Arrangements	26	10	36	50	14	
	ased Assurance Work Programme						
15/0963	Safeguarding Adults	4	6	10			
15/0965	Section 106 Agreements	7	5	10			
16/0971	Council Housing Rent Collection & Arrears Management	9	6	12			
16/0972	Drivers' Certificates of Professional Competence	5	5	10			
16/0973	Anti-Social Behaviour	3	7	10			
16/0974	Williamson Park Cash and Banking	3	7	10			
	-	31	36	67	120	53	
Sub-total - Risk Based Assurance Work Follow-Up Reviews		5	30	35	50	15	8
FOILOW-	op Reviews	5					
SUB-TOT	AL - ASSURANCE WORK	74	116	100	310	120	
SUB-TOT	AL - ASSURANCE WORK	74	116	190	310	120	
	AL - ASSURANCE WORK	74	116	190	310	120	
	JLTANCY WORK	17	33	190 50	310 50	120	00
2. CONSU Ad-Hoc	JLTANCY WORK						8
2. CONSU Ad-Hoc Corpora	JLTANCY WORK Advice	17	33	50	50	0	
2. CONSU Ad-Hoc Corpora Support V	JLTANCY WORK Advice ate Risk Management	17	33	50	50	0	
2. CONSU Ad-Hoc Corpora Support V Sub-tot	JLTANCY WORK Advice ate Risk Management Vork (projects and other)	17	33 19	50 20	50 20	0	
2. CONSU Ad-Hoc Corpora Support V Sub-tot SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK	17 1 0	33 19 0	50 20 0	50 20 20	0 0 20	∞
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHEF	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK	17 1 0 18	33 19 0 52	50 20 0 70	50 20 20 90	0 0 20	00
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties	17 1 0 18 4	33 19 0 52	50 20 0 70 16	50 20 20 90 90	0 0 20	00 00 00 00
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function	17 1 0 18 4 87	33 19 0 52 12 20	50 20 0 70 16 107	50 20 20 90 20 20 25	0 0 20	80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOT/ 3. OTHEF 15/0392 15/0950 15/0951	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team	17 1 0 18 4 87 1	33 19 0 52 12 20 6	50 20 0 70 16 107 7	50 20 20 90 20 20 25 10	0 0 20 20	00 00 00 00
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOT/ 3. OTHEF 15/0392 15/0950 15/0951	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function	17 1 0 18 4 87	33 19 0 52 12 20	50 20 0 70 16 107	50 20 20 90 20 20 25	0 0 20	80 80 80 80 80
2. CONSU Ad-Hoc Corpora Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team	17 1 0 18 4 87 1	33 19 0 52 12 20 6	50 20 0 70 16 107 7	50 20 20 90 20 20 25 10	0 0 20 20	80 80 80 80 80
2. CONSU Ad-Hoc Corpora Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER	17 1 0 18 4 87 1	33 19 0 52 12 20 6	50 20 0 70 16 107 7	50 20 20 90 20 20 25 10	0 0 20 20	80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V SUB-tot SUB-TOTA 3. OTHEF 15/0392 15/0950 15/0951 SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT	17 1 1 0 18 4 87 1 1 92	33 19 0 52 12 20 6 38	50 20 70 70 16 107 7 130	50 20 20 90 20 20 25 10	0 0 20 20	00 00 00 00 00 00 00 00 00 00
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHEF 15/0392 15/0950 15/0951 SUB-TOTA 4. AUDIT 15/0172 15/0189	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work	17 1 1 0 18 18 4 87 1 1 92	33 19 0 52 12 20 6 38 7	50 20 0 70 16 107 7 130	50 20 20 90 20 20 25 10	0 0 20 20	80 80 80 80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA 4. AUDIT 15/0172 15/0189	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work Audit Planning & Management	17 1 0 18 18 4 87 1 1 92 	33 19 0 52 12 20 6 38 7 7 20	50 20 70 70 16 107 7 130 13 13 27	50 20 20 90 20 25 10 55	0 0 20 20 -75	80 80 80 80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA 4. AUDIT 15/0172 15/0189 SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work Audit Planning & Management	17 1 0 18 18 4 87 1 1 92 	33 19 0 52 12 20 6 38 7 7 20	50 20 70 70 16 107 7 130 13 13 27	50 20 20 90 20 25 10 55	0 0 20 20 -75	80 80 80 80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA 4. AUDIT 15/0172 15/0189 SUB-TOTA	JLTANCY WORK Advice ate Risk Management Vork (projects and other) at - Support Work (projects and other) AL - CONSULTANCY WORK Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work Audit Planning & Management AL - AUDIT MANAGEMENT NGENCIES	17 1 0 18 18 4 87 1 1 92 	33 19 0 52 12 20 6 38 7 7 20	50 20 70 70 16 107 7 130 13 13 27	50 20 20 90 20 25 10 55	0 0 20 20 -75	80 80 80 80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOT/ 3. OTHEF 15/0392 15/0950 15/0951 SUB-TOT/ 4. AUDIT 15/0172 15/0189 SUB-TOT/ 5. CONTI Investig	JLTANCY WORK Advice ate Risk Management Vork (projects and other) at - Support Work (projects and other) AL - CONSULTANCY WORK Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work Audit Planning & Management AL - AUDIT MANAGEMENT NGENCIES	17 1 1 0 18 18 4 87 1 1 92 6 6 7 13	33 19 0 52 12 20 6 38 7 7 20 27	50 20 70 70 16 107 7 130 13 27 40	50 20 20 90 20 25 10 55	0 0 20 20 -75	80 80 80 80 80 80 80 80
2. CONSU Ad-Hoc Corport Support V Sub-tot SUB-TOTA 3. OTHER 15/0392 15/0950 15/0951 SUB-TOTA 4. AUDIT 15/0172 15/0189 SUB-TOTA 5. CONTI Investig Genera	JLTANCY WORK Advice ate Risk Management Vork (projects and other) al - Support Work (projects and other) AL - CONSULTANCY WORK C Deputy Section 151 Officer Duties Management - Information Governance Function Management - Corporate Fraud Team AL - OTHER MANAGEMENT Committee Work Audit Planning & Management AL - AUDIT MANAGEMENT NGENCIES pations	17 1 0 18 8 4 87 1 1 92 92 6 6 7 13 13	33 19 0 52 12 20 6 38 7 7 20 27 20 27	50 20 0 70 16 107 7 130 13 27 40	50 20 20 90 90 25 10 55 5 40 40	0 0 20 20 -75 0 0	80 80 80 80 80 80 80 80

CFwd Carried Forward to 2017/18 Plan

🗶 Abandoned